



COMPARATIVE STUDY OF BLOCK- BASED IMAGE SEGMENTATION TECHNIQUE

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ABSTRACT

Due to the advent of computer technology image-processing techniques have become increasingly important in a wide variety of applications. Image segmentation is a classic subject in the field of image processing and also is a hotspot and focus of image processing techniques. Several general-purpose algorithms and techniques have been developed for image segmentation. Since there is no general solution to the image segmentation problem, these techniques often have to be combined with domain knowledge in order to effectively solve an image segmentation problem for a problem domain. This paper presents a comparative study of the basic Block-Based image segmentation techniques.

Keywords: Image processing; Image segmentation; Image engineering; Image analysis; Image understanding.

1. INTRODUCTION

“The whole is equal to the sum of its parts”. Figure-ground segmentation referred as a target or foreground other part is called background is an important problem i.e., extract and separate them in order to identify and analyze object, in image processing. Segmentation is the process that subdivides an image into its constituent parts or objects. The level to which this subdivision is carried out depends on the problem being solved, i.e., the segmentation should stop when the objects of interest in an application have been isolated. Image Engineering illustrates the level of the image segmentation in image processing. Image Engineering can be divided into three levels as shown in Fig. 1. **Image processing** is low-level operations; it operated on the pixel-level.

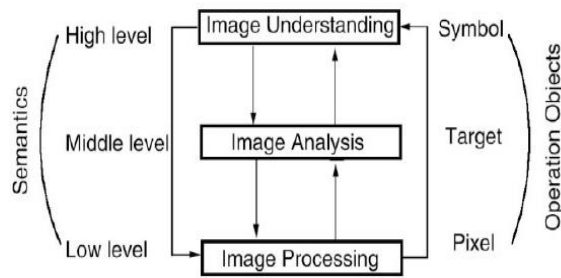


Figure 1: Image Engineering

Starts with one image and produces a modified version, image into another form, of the same, or the transformation between the images and improves the visual effects of image. Image processing following three stages each is subdivided into different categories:

1) Reconstruction (Correction)

a. Restoration: Removal or minimization of image degradations. Two types: **Radiometric** and **Geometric**.

b. Reconstruction: Derive an image, two or higher dimensional, of inside view from several one-dim projections.

c. Mosaic: Combining of two or more patches of image- required to get the view of the entire area.

2) Transformation

a. Contrast stretching: Homogeneous images which do not have much change in their levels.

b. Noise filtering: to filter the unnecessary information. Filters like low pass, high pass, mean, median etc...

c. Histogram modification: E.g., Histogram Equalization.

d. Data compression: Higher compressed each pixel by: DCT by JPEG or Wavelet for with minimum loss.

e. Rotation: In mosaic to match with the second image. 3-pass shear is a common.

3) Classification

a. Segmentation: Subdivides an image into its objects depends on the problem.

b. Classification: Pixels labeling based on its grey value. Types of '**Spectral Analysis**', in Remote Sensing imagery, are: **Supervised** are the known types of land while **Unsupervised** are the unknown ones.

Image analyses, the middle-level, it focuses on measuring. Principal Components Analysis (PCA) produces a new set of images from a given set. **Image understanding** is high-level operation which is further study on the nature of each target and the linkage of each other as well explanation of original image. **Image segmentation** is a key step from the image processing to image analysis; it, the segmentation, is the target expression and has important effect on the feature measurement and it is possible to make high-level image analysis and understanding.

2. METHODS FOR IMAGE SEGMENTATION

Image segmentation techniques or methods are classified into two main categories **Layer-Based Segmentation Methods** and **Block-Based Segmentation Methods** see Fig. 2.

Layer-Based Segmentation Methods Layered model: for object detection and image segmentation that composites the output of a bank of object detectors in order to define shape masks and explain the appearance, depth ordering, and that evaluates both class and instance segmentation. This type didn't discuss in this paper. And **Block-Based Segmentation Methods** which is based on various features found in the image. This might be colour information that is used to create histograms, or information about the pixels that indicate edges or boundaries or texture information. Block-Based Image Segmentation methods are categorized on two properties: discontinuity and similarity into three groups:

1. Region Based Methods: based on discontinuities.
2. Edge or Boundary Based Methods: based on similarity.
3. Hybrid Techniques.

These are the methods which were discussed in this paper, while there are two additional Block-Based Image Segmentation methods or categories: **Pixel-Based** Segmentation: or Point-Based Segmentation. And **Model-Based** Segmentation: The human vision system has the ability to recognize objects even if they are not completely represented. It can be applied if the exact shape of the objects in the image is known.

Segmentation is a process that divides an image into its regions or objects that have similar features or characteristics.

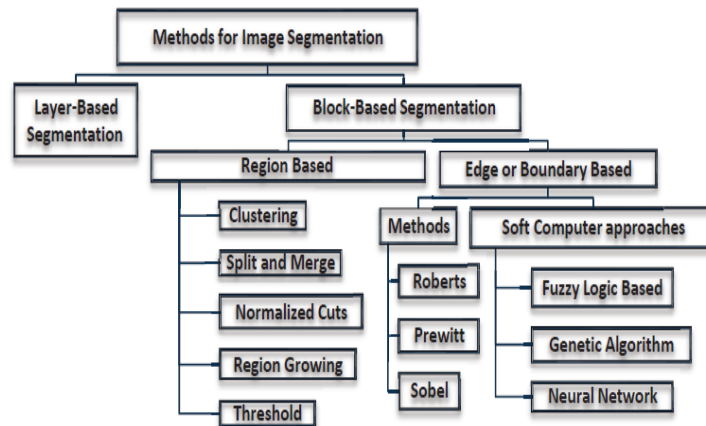


Figure 2: Methods of Image Segmentation

1. Region Based Methods: Divide the entire image into sub regions or clusters, e.g. all the pixels with same grey level in one region.

1.1. Clustering: K-mean: splits an image into K groups or clusters by adding points, p , to the cluster where the difference between the point and the mean is smallest.. Hard clustering assumes sharp boundaries between clusters. **Fuzzy clustering:** shape-based image segmentation algorithm.

Applications: medical imaging and security systems. **Advantages:** shape-based image segmentation.

Disadvantages: some clustering algorithms like K-means clustering doesn't guarantee continuous areas. This drawback is overcome by Split and Merge technique.

1.2. Split and Merge: Two parts: Initially the whole image which is taken as a single region is repeatedly split until no more splits are possible, Quad tree is a splitting data structure, then two regions are merged if they are adjacent and similar, merging is repeated until no more merging is possible.

Three steps: using Improved Quad Tree (IQM), 1st splitting the image, 2nd initializing neighbours list and the 3th step is merging splitted regions. **Advantages:** connected regions are guaranteed and IQM reduces lengthy† neighbour problems during merging. **Disadvantages:** the position and orientation of the image lead to blocky final segmentation and regular division leads to over segmentation (more regions) by splitting. This drawback can be overcome by using Normalized cuts.

1.3. Normalized Cuts: Normalized cuts aim at optimal splitting by reducing number of regions. This method is based on graph theory. Each pixel is a vertex in a graph, edges link adjacent pixels. Weights on the edge are assigned according to similarity, distance, colour, grey level or textures and so on between two corresponding pixels. **Applications:** medical images.. **Advantages:** no need to merge regions after splitting, better edges definition, new optimality criterion for partitioning a graph into clusters and different image features like intensity, colour texture, contour continuity are treated in one uniform network.

Disadvantages: complex computational.

1.4. Region Growing: Region growing is one of popular methods. Starts with a pixel and will go on adding the pixels based on similarity, to the region, repeat until all pixels belong to some region. **Applications:** segment the parts of human body. **Advantages:** connected regions are guaranteed; multiple criterions at the same time and give very good results with less noisy. **Disadvantages:** over segmentation when the image is noisy or has intensity variations, cannot distinguish the shading of the real images and power and time consuming.

1.5. Threshold: is separating foreground or object from the background into no overlapping sets.

Threshold segmentation techniques grouped in classes:

Local techniques are based on the local properties of the pixels and their neighbourhoods.

Global techniques segment an image on the basis of information obtain globally (e.g. by using image histogram; global texture properties).

Split, merge and growing techniques use both the notions of homogeneity and geometrical proximity in order to obtain good segmentation results.

Fuzzy C-means: is methods algorithm and strategies can improve remote sensing image threshold segmentation with less iterations times and good stability and robustness. A fuzzy set is a set of class continuum points of membership grades with no sharp boundary see Fig. 3. Gray-level images are converted to binary images by selecting a single threshold value (T), so the binary image should contain information about the position and shape of the objects, foreground. In threshold **regions** classified on the basis **range values**, applied to the intensity values of the image pixels.

Pixels are classified, using range values or **Threshold** values to: 1st **Global** threshold where a single threshold value is used in the whole image, 2nd **Local**, (adaptive), threshold value is assigned to each pixel to determine whether it belongs to the foreground or the background pixel, 3rd Threshold value **T** is selected by analysing image **histograms** which can be one of two models: 1st **Bimodal** histograms present two peaks and a clear valley, T is the valley point, 2nd **Multimodal** histograms, see Fig. 3, are more complex, with many peaks and not clear valleys so it is not easy to select the value of T.

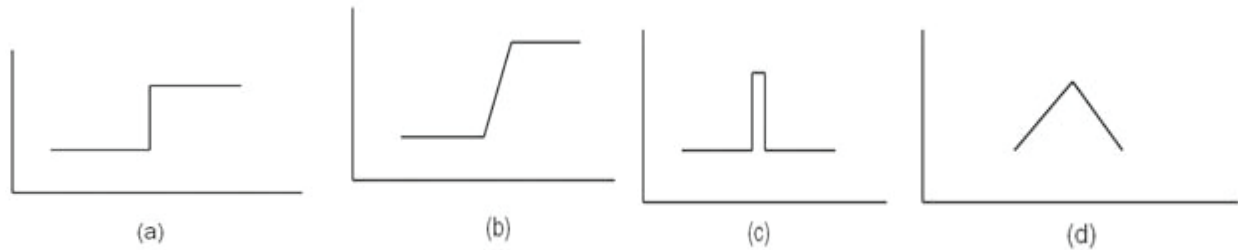


Figure 3: Type of Edges

(a) Step Edge

(b) Ramp

(c) Line Edge

(d) Roof Edge

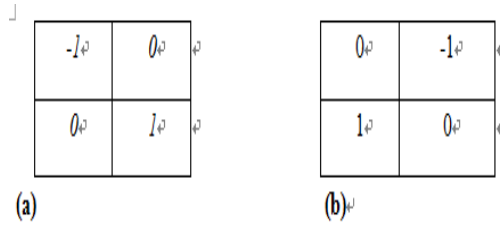


Figure4: Roberts's cross-gradient operators

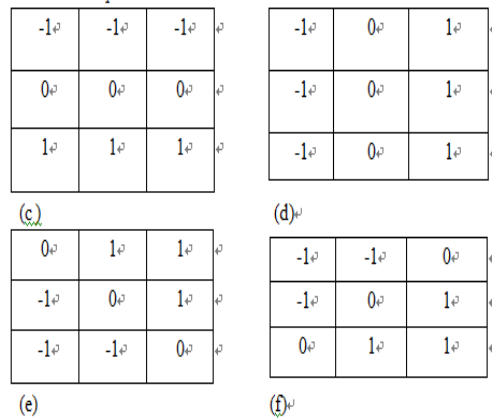


Figure 5: Prewitt operator

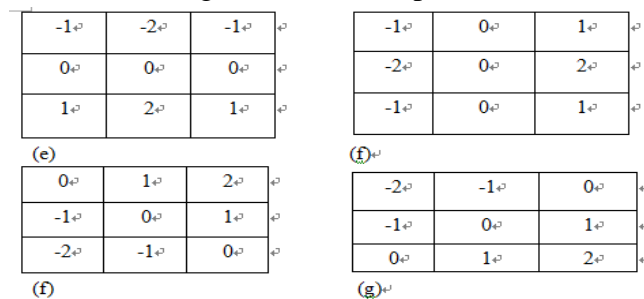


Figure 6: Sobel operator

Threshold Technique

Mean Technique: uses the mean value of the pixels as the threshold value.

P-Tile Technique: one of the earliest threshold methods, uses knowledge about the area size of the object, based on the grey level histogram, assumes the objects are brighter than the background and occupy a fixed percentage, known as P%, of the picture area. **Applications:** Suitable for all sizes of objects. **Advantages:** Simple and yields good anti-noise capabilities. **Disadvantages:** It is not applicable if the object area ratio is unknown or varies.

Histogram Dependent Technique (**HDT**): Dependent on the success of estimating the threshold value that separates the two homogenous region of the object and background. **Applications:** for image with large homogenous and will separate regions.

Edge Maximization Technique (**EMT**): depend on the maximum edge, edge detection techniques, threshold to start segmentation and **automatic threshold** performance becomes much better.

Applications: for image with more than one homogenous region.

Advantages: avoid merging between object and background.

Visual Technique: Novel way, as P-Tile. **Disadvantages:** don't active when the, in general, **threshold** techniques are suitable for simple applications. Cause of binary image, and the way of segmentation, computationally inexpensive and fast it is the simplest, and widely used for image segmentation. Multilevel threshold to segment complex images.

2. Edge or Boundary Based Methods

Edge detection techniques transform images to edge images using the changes of grey tones in the images. Edges are the sign of lack of continuity, and ending. Objects consist of numerous parts of different colour levels . Edges are local changes in the image intensity and Edges occur on the boundary between two regions

Types of Edges: As shown in Fig. 3. **Step** edge, image intensity abruptly changes from one value on one side of the discontinuity to a different value on the opposite side. **Line** Edges, image intensity abruptly changes value but then returns to the starting value within short distance. However, Step and Line edges are rare in real images because sharp discontinuities rarely exist in real signals. **Ramp** Edges reality of Step edges. **Roof** edges reality of Line Edges .

Edge Detection Steps: 1st **Filtering:** filter the unnecessary information called noise which is random variations in intensity values, while more noise filtering results losing in edge strength .

2nd **Enhancement:** facilitate the detection of edges by determining point neighborhood intensity changes.

3rd **Detection:** determine edges points while many not edges points in an image have a nonzero value for the gradient.

Types of Discontinuities

In the grey level are **Point**, **Line** and **Edges**. Spatial masks can be used to detect all types of discontinuities.

2.1. Edge Detection Methods

2-D Spatial Gradient Measurements on an image as follows :

2.1.1. Roberts Detection: Cross operator performs a simple; quick to compute, Point output pixel values at each is the magnitude of the spatial gradient of the input point as Fig. 4.

2.1.2. Prewitt§ Detection: Estimate the magnitude and orientation of an edge using the 3x3 neighbourhoods for eight directions which are calculated and the largest convolution mask is then selected as Fig. 5.

2.1.3. Sobel Detection: One kernel, 3x3, is the other rotated by 90o as Fig. 6.

2.2. Edge Detection Soft Computer approaches

2.2.1. Fuzzy Logic Based Approach

Pixels are divided into fuzzy sets i.e. each pixel may belong partly to many sets and regions of image as Fig. 9 . Fig. 10 shows the fuzzy rules for edge detection and neighbourhood of a central pixel of the image.

2.2.2. Genetic Algorithm Approach

Derives from the evolution theory, consists of three major operations: selection, crossover, and mutation. GA used in pattern's recognition applications. Fuzzy GA fitness functions were considered.

2.2.3. Neural Network Approach

Important differences between neural networks and other AI techniques are their abilities to learn and generalize. The network "learns" by adjusting the interconnection, weights, between layers, and generalizes relevant output for a set of input data. **Artificial neural networks (ANN)** are applied for pattern recognition. **Self organization of Kohonen** Feature Map (SOFM)††** network is a tool for clustering. The neural network consists of three layers: **Input** layer, **Hidden** layer, and **output** layer. A **neuron** has a normalized between [0-1] as input and output. Each layer is having (I x J), image size and neurons. Each neuron is connected to respective neuron in the previous layer with its d order neighbourhood.

Comparison of Edge Detection Methods

In general **Edge or Boundary Based** techniques have the following characteristics:

Applications: medical image processing, biometrics etc. .

Advantages: Edge detection is an important in image analysis, i.e., important features can be extracted from the edges (e.g., corners, lines, curves). These features are used by higher-level computer vision algorithms (e.g., recognition).

3. MODELS IN BLOCK BASED SEGMENTATION

The following sub sections discuss the two models in block based segmentation.

3.1. AC-Coefficient Based Model

The first model uses the AC coefficients introduced during DCT to segment the image into three blocks, background, text/graphic and image blocks [12]. The background block has smooth regions of the image while the text / graphics block has high density of sharp edges regions and image block has the non-smooth part of the compound image. AC energy is calculated from AC coefficients and is combined with a user-defined threshold to identify the background block initially. The AC energy of a block 's' is calculated using Equation (1).

$$E_s = \sum_{i=1}^{63} y_{s,i}^2 \dots\dots\dots(1)$$

where $Y_{s,i}$ is the estimate of the i-th DCT coefficient of the block 's', produced by JPEG decompression. When the E_s value thus calculated is lesser than a threshold T_1 , then it is grouped as smooth region else it is grouped as non-smooth region. Next, from the luminance value, the feature vectors from the rest of the blocks are extracted and collected. From this, the

non-smooth block is classified into two classes, text and image, using k-means clustering algorithm. Different algorithms are then used to compress the three regions of a compound image. The following figure 7 shows the overall design of AC-coefficient model.

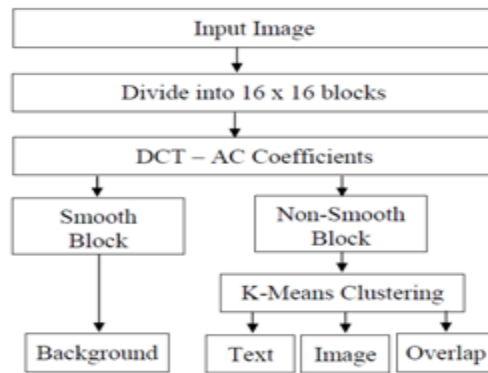


Figure 7: AC-Coefficient Based Segmentation

3.2. Histogram Based Model

The second block-based segmentation model uses a histogram-based threshold approach. This model uses a series of decision rules to segment an image. The existing segmentation classifies an image into four regions, which is modified into five regions. The decision rules are modified to include an extra rule with other threshold to handle the fifth region, which is called the residue or overlapping or complex region. This region in the existing model was treated as a picture block, but in reality might have text and/or graphics and/or picture regions. This has to be separated from the picture block, so that the compression of picture block will be enhanced. And special treatment of overlapping block might enhance both segmentation and compression processes. The segmentation process involves a series of decision rules from the block type with the highest priority to the block type with the lowest priority. The decision for smooth and text blocks is relatively straightforward. The histogram of smooth or text blocks is typically dominated by one or two intensity values (modes). Separating the graphics and image blocks is challenging. Since the maximum size of color palette in color indexing strategy is four, a block is declared to be a graphics block only if the number of modes is no larger than four. When the block size is reasonably small, the likelihood that there are more than four different colors within a graphics block is small.

The algorithm starts by calculating the probability of intensity value i , where $i = 0 \dots 255$ using Equation

$$P_i = \text{freq}(i) / B^2 \dots \dots \dots (2)$$

Where B is the block size and a value of 16 is used in the experiments. Next the mode ($m_1 \dots m_x$) is calculated and the cumulative probability around the mode m is computed using Equation (3).

$$C_n = \sum_{m-A}^{m+A} p_i \dots \dots \dots (3)$$

4. COMPRESSION TECHNIQUES

The process of compression is resolved using a 2-step process, that is, segmentation and compression. The result of segmentation is a set of non-overlapping coherent regions, whose

union reproduces the entire original image. These regions are then compressed using techniques, which are either existing or newly proposed. The image is segmented into regions listed below.

- The smooth (background/foreground/one colored) regions
- The text region
- The picture or image region
- The graphics region
- The overlapping region

The smooth and graphics regions are compressed using arithmetic coder and palette-based coder respectively. The text region is compressed using the XML coder, Token based coder and the image, and overlapping regions are compressed using the modified JPEG coder.

5. Experimental Results

The following Figure 3 is the combination of all classes of compound images, which are used for testing



Figure 8: Computer Generated

(a)Image (CGI)

(b) Text Image(TI)

To assess the performance of the proposed models the three standard performance metrics used are

- Compression Ratio
- Peak Signal to Noise Ratio

The compression ratio is calculated using the below formula, which is shown in equation (4).

$$\text{Compression Ratio} = 1 - (\text{Compressed size} / \text{Original size}) * 100 \quad (4)$$

The Table 1 shows the compression ratio of the two proposed methods.

Image	JPEG	DjVu	AC-Coefficient Based	Histogram Based
CGI	39.38	40.25	45.77	45.12
TI	38.77	42.13	46.04	46.38

From the above Table I results, the two models gain high compression ratio. For computer generated and scanned image the AC co-efficient method achieves good Compression ratio. For text and document image the histogram based method achieves good compression ratio.

The peak signal to noise ratio is used to measure the visual quality of the image. The high PSNR indicates the decompressed image have good quality. The Peak Signal To Noise Ratio is calculated using the formula,

$$\text{PSNR} = 10 \cdot \log_{10} (\text{MAX}_I^2 / \text{MSE}) \dots \dots (4)$$

The Table –II shows the results of PSNR The high PSNR indicates high quality of the image.

Table-II Peak Signal to Noise Ratio(%)

Method Used	CGI	TI
JPEG	40.37	43.92
DjVu	44.66	46.33
AC-Coefficient Based	47.46	47.92
Histogram Based	48.23	48.18

6. Conclusion

This paper attempts to enhance two block based segmentation methods .The performance of the histogram based method and AC-Coefficient based method are compared with compression ratio, PSNR. From the performance analysis the histogram based segmentation method achieves good results compared with AC-Coefficient method.

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