

CODING THEOREMS FOR THE R-NORM INFORMATION MEASURE

*Dr Meenakshi Darolia

Assistant Professor, Isharjyot Degree College Pehova

**Mr Vishesh Bansal

Assistant Professor, MAIMT Jagadhri

In this paper, we will give coding theorems with respect to the R-Norm Information Measure. Suppose we have discrete memory less information source with an encoding alphabet with D Symbols and code words x_i with word-lengths N_i , $i = 1, 2, \dots, n$, which fulfill the Kraft inequality

$$\left(\sum_{i=1}^n D^{-n_i} \right) \leq 1 \quad (1.1)$$

Where D is the size of the code alphabet.

We next give a definition of average length L_R of cord words.

DEFINITION: The average length L_R with respect to R-norm information measure is for $R \in R^+$ given by

$$L_R = \frac{R}{R-1} \left[1 - \sum_{i=1}^n P_i D^{-n_i (R-1)/R} \right]$$

Clearly L_R will increase for increasing word lengths. An important property of L_R is that for $R \rightarrow 1$ it is equivalent with the average length of code words by Shannon, up to a constant.

Theorem: For all integer $D > 1$

$$L_R = \frac{R}{R-1} \left[1 - \sum_{i=1}^n P_i D^{-n_i (R-1)/R} \right] > 0 \quad \text{for } R \in R^+$$

Proof: To prove $L_R > 0$, we consider the following cases:

Case I: when $R > 1$, then $R - 1 > 0$ and $\frac{R}{R-1} > 0$

From (1.1), we have $\left(\sum_{i=1}^n D^{-n_i}\right) \leq 1 \Rightarrow D^{-n_i} < 1 \Rightarrow D^{-n_i \left(\frac{R}{R-1}\right)} < 1$

Multiplying both sides of (1.3) by P_i , we get $\Rightarrow P_i D^{-n_i \left(\frac{R}{R-1}\right)} < P_i$

Summing over $i = 1, 2, 3, \dots, N$ both sides, we get

$$\begin{aligned} \Rightarrow \sum_{i=1}^n P_i D^{-n_i \left(\frac{R}{R-1}\right)} &< \sum_{i=1}^n P_i = 1, & \Rightarrow \sum_{i=1}^n P_i D^{-N_i \left(\frac{R}{R-1}\right)} &< 1 \\ \Rightarrow -\sum_{i=1}^n P_i D^{-N_i \left(\frac{R}{R-1}\right)} &> -1, & \Rightarrow 1 - \sum_{i=1}^n P_i D^{-N_i \left(\frac{R}{R-1}\right)} &> -1 + 1 = 0 \\ \Rightarrow 1 - \sum_{i=1}^n P_i D^{-n_i \left(\frac{R}{R-1}\right)} &> 0 & & (1.4) \end{aligned}$$

Multiplying (1.1) by $\frac{R}{R-1}$, we get

$$\frac{R}{R-1} \left[1 - \sum_{i=1}^n P_i D^{-n_i \left(\frac{R}{R-1}\right)} \right] > 0 \tag{1.5}$$

But $L_R = \frac{R}{R-1} \left[1 - \sum_{i=1}^n P_i D^{-n_i \left(\frac{R}{R-1}\right)} \right]$

Thus from (1.5), we get

$$L_R = \frac{R}{R-1} \left[1 - \sum_{i=1}^n P_i D^{-n_i \left(\frac{R}{R-1}\right)} \right] > 0 \quad \text{for } R > 1 \tag{1.6}$$

Case II: when $0 < R < 1 \Rightarrow R - 1 < 0$ and $\frac{R}{R-1} < 0$

From (1.1), we have

$$\left(\sum_{i=1}^n D^{-N_i} \right) \leq 1, \Rightarrow D^{-N_i} < 1, \Rightarrow D^{-N_i \left(\frac{R}{R-1} \right)} > 1 \quad (1.7)$$

Multiplying both sides of (1.7) by P_i , we get

$$\Rightarrow P_i D^{-N_i \left(\frac{R}{R-1} \right)} > P_i$$

Summing over $i = 1, 2, 3, \dots, n$ both sides, we get

$$\begin{aligned} \Rightarrow \sum_{i=1}^n P_i D^{-N_i \left(\frac{R}{R-1} \right)} &> \sum_{i=1}^n P_i = 1 \\ \Rightarrow \sum_{i=1}^n P_i D^{-N_i \left(\frac{R}{R-1} \right)} &> 1 \\ \Rightarrow -\sum_{i=1}^n P_i D^{-N_i \left(\frac{R}{R-1} \right)} &< -1 \\ \Rightarrow 1 - \sum_{i=1}^n P_i D^{-N_i \left(\frac{R}{R-1} \right)} &< -1 + 1 = 0 \\ \Rightarrow 1 - \sum_{i=1}^n P_i D^{-N_i \left(\frac{R}{R-1} \right)} &< 0 \end{aligned} \quad (1.8)$$

Multiplying (1.8) by $\frac{R}{R-1}$, we get

$$\frac{R}{R-1} \left[1 - \sum_{i=1}^n P_i D^{-N_i \left(\frac{R}{R-1} \right)} \right] > 0 \quad (1.9)$$

But $L_R = \frac{R}{R-1} \left[1 - \sum_{i=1}^n P_i D^{-N_i \left(\frac{R}{R-1} \right)} \right]$

Thus from (1.9) , we get

$$L_R = \frac{R}{R-1} \left[1 - \sum_{i=1}^n P_i D^{-N_i (R-1)/R} \right] > 0 \quad \text{for } 0 < R < 1 \quad (1.10)$$

Thus from (1.6) and (1.10), we get

$$L_R = \frac{R}{R-1} \left[1 - \sum_{i=1}^n P_i D^{-N_i (R-1)/R} \right] > 0 \quad \text{for } R \in R^+$$

Theorem: If $N_i, i=1,2,\dots,n$ are in length of code words x_i then

$$\lim_{R \rightarrow 1} L_R = \sum_{i=1}^n p_i N_i \log(D)$$

Proof: The average length L_R with respect to R-norm information measure is for $R \in R^+$ given by

$$L_R = \frac{R}{R-1} \left[1 - \sum_{i=1}^n P_i D^{-N_i (R-1)/R} \right] \quad (1.11)$$

Taking limit both sides as $R \rightarrow 1$, we get

$$\lim_{R \rightarrow 1} L_R = \lim_{R \rightarrow 1} \frac{R}{R-1} \left[1 - \sum_{i=1}^n P_i D^{-N_i (R-1)/R} \right] = \frac{0}{0} \text{ (form)} \quad (1.12)$$

Thus by Bernoulli-L' Hospital theorem, we get

$$\lim_{R \rightarrow 1} L_R = \lim_{R \rightarrow 1} \left[\frac{1 - \sum_{i=1}^n P_i D^{-N_i (R-1)/R}}{R-1} - R \left[0 - \sum_{i=1}^n P_i \frac{dT}{dR} \right] \right] \quad (1.13)$$

Where $T = D^{-N_i (R-1)/R} \quad (1.11)$

Taking log both sides of (1.11), we get

$$\log T = \frac{-N_i (R-1)}{R} \log D \quad (1.15)$$

Diff w.r.t 'R' both sides of (1.15), we get

$$\frac{1}{T} \cdot \frac{dT}{dR} = -N_i \log(D) \left[\frac{R \cdot 1 - 1 \cdot (R-1)}{R^2} \right]$$

$$\frac{dT}{dR} = -TN_i \log(D) \left[\frac{1}{R^2} \right] = -\frac{1}{R^2} D^{-N_i(R-1)/R} [N_i \log(D)]. \tag{1.16}$$

Substitute (1.16) in (1.13), we get

$$\Rightarrow \lim_{R \rightarrow 1} L_R = \lim_{R \rightarrow 1} \left[1 \cdot \left[1 - \sum_{i=1}^n p_i D^{-N_i(R-1)/R} \right] - \frac{R}{R^2} \left[\sum_{i=1}^n p_i D^{-N_i(R-1)/R} N_i \log(D) \right] \right]$$

$$\Rightarrow \lim_{R \rightarrow 1} L_R = \lim_{R \rightarrow 1} \left[\left[1 - \sum_{i=1}^n p_i D^{-N_i(R-1)/R} \right] - \frac{1}{R} \left[\sum_{i=1}^n p_i D^{-N_i(R-1)/R} N_i \log(D) \right] \right]$$

$$\Rightarrow \lim_{R \rightarrow 1} L_R = \left[\left[1 - \sum_{i=1}^n p_i \right] - \left[\sum_{i=1}^n p_i N_i \log(D) \right] \right] = -\sum_{i=1}^n p_i N_i \log(D) \tag{1.17}$$

Thus finally $\lim_{R \rightarrow 1} L_R = -\sum_{i=1}^n p_i N_i \log(D)$ (1.18)

Theorem: For all integer $D > 1$

$$H_R(P) \leq L_R$$

Under the condition (1.1). Equality holds if and only if

$$N_i = -\log\left(\frac{P_i^R}{\sum_{i=1}^n P_i^R}\right)$$

Proof: To prove this theorem, we consider following cases:

Case I: when $R > 1$

We use Holder inequality [12]

$$\sum_{i=1}^n x_i y_i \geq \left(\sum_{i=1}^n x_i^p \right)^{\frac{1}{p}} \left(\sum_{i=1}^n y_i^q \right)^{\frac{1}{q}} \tag{1.19}$$

for all $x_i \geq 0, y_i \geq 0, i = 1, 2, \dots, n$ when $P < 1 (\neq)$ and $p^{-1} + q^{-1} = 1$. with equality if and only if there exists a positive number c such that

$$x_i^p = cy_i^q \quad \text{Setting} \quad x_i = P_i^{\frac{R}{R-1}} \cdot D^{-N_i}$$

$$\text{and } y_i = P_i^{\frac{R}{R-1}}, \quad P = 1 - \frac{1}{R}, \quad q = 1 - R$$

$$\left(\sum_{i=1}^n P_i^{\frac{R}{R-1}} D^{-N_i} P_i^{\frac{R}{R-1}} \right) \geq \left(\sum_{i=1}^n (P_i^{\frac{R}{R-1}} D^{-N_i})^{1-\frac{1}{R}} \right)^{1/1-\frac{1}{R}} \left(\sum_{i=1}^n (P_i^{\frac{R}{R-1}})^{1-R} \right)^{1/1-R} \quad (1.20)$$

$$\left(\sum_{i=1}^n D^{-N_i} \right) \geq \left(\sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right)^{\frac{R}{R-1}} \left(\sum_{i=1}^n (P_i)^R \right)^{1/1-R} \quad (1.21)$$

Since $\left(\sum_{i=1}^n D^{-N_i} \right) \leq 1$ Thus (1.21) becomes

$$\left(\sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right)^{\frac{R}{R-1}} \left(\sum_{i=1}^n (P_i)^R \right)^{1/1-R} \leq 1$$

$$\left(\sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right)^{\frac{R}{R-1}} \leq \left(\sum_{i=1}^n (P_i)^R \right)^{1/R-1} \quad (1.22)$$

Raising power $1/R$ both sides of (1.22), we get

$$\left(\sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right) \leq \left(\sum_{i=1}^n (P_i)^R \right)^{\frac{1}{R}}$$

$$\Rightarrow - \left(\sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right) \geq - \left(\sum_{i=1}^n (P_i)^R \right)^{\frac{1}{R}}$$

$$\Rightarrow 1 - \left(\sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right) \geq 1 - \left(\sum_{i=1}^n (P_i)^R \right)^{\frac{1}{R}} \quad (1.23)$$

We know $\frac{R}{R-1} > 0$ if $R > 1$

Multiplying $\frac{R}{R-1}$ by both side of (1.23) and we get

$$\frac{R}{R-1} \left(1 - \left(\sum_{i=1}^n P_i D^{-n_i \left(\frac{R}{R-1} \right)} \right) \right) \geq \frac{R}{R-1} \left(1 - \left(\sum_{i=1}^n P_i^R \right)^{\frac{1}{R}} \right) \quad (1.21)$$

But $\frac{R}{R-1} \left(1 - \left(\sum_{i=1}^n P_i^R \right)^{\frac{1}{R}} \right) = H_R(P)$

and $\frac{R}{R-1} \left(1 - \left(\sum_{i=1}^n P_i D^{-n_i \left(\frac{R}{R-1} \right)} \right) \right) = L_R$

Thus from (1.21), we get $L_R \geq H_R(P)$ for $R > 1$ (1.25)

Case II: when $0 < R < 1$

We use Holder inequality [12]

$$\sum_{i=1}^n x_i y_i \leq \left(\sum_{i=1}^n x_i^p \right)^{\frac{1}{p}} \left(\sum_{i=1}^n y_i^q \right)^{\frac{1}{q}} \quad (1.26)$$

For all $x_i \geq 0, y_i \geq 0, i=1,2,\dots,N$ when $p < 1 (\neq)$ and $p^{-1} + q^{-1} = 1$. with equality if and only if there exists a positive number c such that

$$x_i^p = c y_i^q \quad \text{Setting} \quad x_i = P_i^{\frac{R}{R-1}} \cdot D^{-n_i}$$

and $y_i = P_i^{\frac{R}{R-1}}, p = 1 - \frac{1}{R}, q = 1 - R$ Thus (1.27) becomes

$$\left(\sum_{i=1}^n P_i^{\frac{R}{R-1}} D^{-N_i} P_i^{\frac{R}{R-1}} \right) \leq \left(\sum_{i=1}^n (P_i^{\frac{R}{R-1}} D^{-N_i})^{1-\frac{1}{R}} \right)^{1/1-\frac{1}{R}} \left(\sum_{i=1}^n (P_i^{\frac{R}{R-1}})^{1-R} \right)^{1/1-R}$$

$$\left(\sum_{i=1}^n D^{-N_i} \right) \leq \left(\sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right)^{\frac{R}{R-1}} \left(\sum_{i=1}^n (P_i)^R \right)^{1/1-R} \quad (1.27)$$

Since $\left(\sum_{i=1}^n D^{-N_i} \right) \leq 1$ Thus (1.27) becomes

$$\left(\sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right)^{\frac{R}{R-1}} \left(\sum_{i=1}^n (P_i)^R \right)^{1/1-R} \geq 1$$

$$\Rightarrow \left(\sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right)^{\frac{R}{R-1}} \geq \left(\sum_{i=1}^n (P_i)^R \right)^{1/R-1} \quad (1.28)$$

Raising power 1/R both sides of (1.28), we have

$$\left(\sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right) \geq \left(\sum_{i=1}^n (P_i)^R \right)^{\frac{1}{R}}$$

$$\Rightarrow - \left(\sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right) \leq - \left(\sum_{i=1}^n (P_i)^R \right)^{\frac{1}{R}}$$

$$\Rightarrow 1 - \left(\sum_{i=1}^n P_i (D^{-N_i})^{\frac{R-1}{R}} \right) \leq 1 - \left(\sum_{i=1}^n (P_i)^R \right)^{\frac{1}{R}} \quad (1.29)$$

We know $\frac{R}{R-1} < 0$ if $0 < R < 1$

Multiplying $\frac{R}{R-1}$ by both sides (1.29), we get

$$\frac{R}{R-1} \left(1 - \left(\sum_{i=1}^n P_i D^{-N_i \left(\frac{R}{R-1} \right)} \right) \right) \geq \frac{R}{R-1} \left(1 - \left(\sum_{i=1}^n P_i^R \right)^{\frac{1}{R}} \right) \quad (1.30)$$

But $\frac{R}{R-1} \left(1 - \left(\sum_{i=1}^n P_i^R \right)^{\frac{1}{R}} \right) = H_R(P)$

and $\frac{R}{R-1} \left(1 - \left(\sum_{i=1}^n P_i D^{-n_i \left(\frac{R}{R-1} \right)} \right) \right) = L_R \quad (1.31)$

Thus from (1.30), we get $L_R \geq H_R(P) \quad 0 < R < 1 \quad (1.32)$

Thus from (1.25) and (1.32), we get

$$L_R \geq H_R(P) \text{ for } R \in R^+ \quad (1.33)$$

Theorem: For every code with length $n_i, i=1,2,3,\dots,N$, and L_R made to satisfy

$$L_R < H_R(P) \cdot D^{\left(\frac{R-1}{R} \right)} + \frac{R}{R-1} \left[1 - D^{\left(\frac{R-1}{R} \right)} \right] \text{ for } R \in R^+$$

Proof: To prove this theorem we consider the following cases:

Case I: when $R > 1$

Let n_i be the positive integer satisfying the inequality by (11)

$$-\log \left(\frac{P_i^R}{\sum P_i^R} \right) \leq n_i < -\log \left(\frac{P_i^R}{\sum P_i^R} \right) + 1$$

Consider the interval

$$\delta_i = \left[-\log \left(\frac{P_i^R}{\sum P_i^R} \right), -\log \left(\frac{P_i^R}{\sum P_i^R} \right) + 1 \right] \text{ of length 1. In every } \delta_i, \text{ there lies exactly one}$$

positive number n_i , such that

$$0 < -\log\left(\frac{p_i^R}{\sum p_i^R}\right) \leq n_i < -\log\left(\frac{p_i^R}{\sum p_i^R}\right) + 1 \tag{1.31}$$

It can be shown that the sequence $\{n_i\}_{i=1,2,3,\dots,N}$ thus defined, satisfies (1.1).

Thus from (1.31), we get

$$n_i < -\log\left(\frac{p_i^R}{\sum p_i^R}\right) + 1$$

$$-\log D^{-n_i} < -\log\left[\left(\frac{p_i^R}{\sum p_i^R}\right) D^{-1}\right]$$

$$D^{-n_i} > \left[\frac{p_i^R}{\sum p_i^R}\right] D^{-1}$$

Raising above inequality by $\frac{R-1}{R}$ both sides, we get

$$D^{-n_i\left(\frac{R-1}{R}\right)} > \left(\frac{p_i^R}{\sum p_i^R}\right)^{\frac{R-1}{R}} D^{\left(\frac{1-R}{R}\right)} \tag{1.35}$$

Multiplying both sides of (1.35) by p_i , we get

$$p_i D^{-n_i\left(\frac{R-1}{R}\right)} > p_i \left(\frac{p_i^R}{\sum p_i^R}\right)^{\frac{R-1}{R}} D^{\left(\frac{1-R}{R}\right)}$$

$$\Rightarrow p_i D^{-n_i\left(\frac{R-1}{R}\right)} > p_i \cdot (p_i)^{R-1} \left(\frac{1}{\sum p_i^R}\right)^{\frac{R-1}{R}} D^{\left(\frac{1-R}{R}\right)}$$

$$\begin{aligned} \Rightarrow P_i D^{-n_i \left(\frac{R-1}{R}\right)} &> (P_i)^{1+R} \left(\frac{1}{\sum P_i^R}\right)^{\frac{R-1}{R}} D\left(\frac{1-R}{R}\right) \\ \Rightarrow P_i D^{-n_i \left(\frac{R-1}{R}\right)} &> (P_i)^R \left(\frac{1}{\sum P_i^R}\right)^{\frac{R-1}{R}} D\left(\frac{1-R}{R}\right) \end{aligned} \tag{1.36}$$

Summing over $i = 1, 2, 3, \dots, N$ both sides of (1.36), we get

$$\begin{aligned} \Rightarrow \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} &> \left(\sum P_i^R\right) \left(\frac{1}{\sum P_i^R}\right)^{\frac{R-1}{R}} D\left(\frac{1-R}{R}\right) \\ \Rightarrow \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} &> \left(\sum P_i^R\right)^{1-\frac{1}{R}} D\left(\frac{1-R}{R}\right) \\ \Rightarrow \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} &> \left(\sum P_i^R\right)^{\frac{1}{R}} D\left(\frac{1-R}{R}\right) \\ \Rightarrow -\sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} &< -\left(\sum P_i^R\right)^{\frac{1}{R}} D\left(\frac{1-R}{R}\right) \\ \Rightarrow 1 - \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} &< 1 - \left(\sum P_i^R\right)^{\frac{1}{R}} D\left(\frac{1-R}{R}\right) \end{aligned} \tag{1.37}$$

We know $\frac{R}{R-1} > 0$ if $R > 1$

Multiplying $\frac{R}{R-1}$ by both sides of (1.37), we get

$$\begin{aligned} \Rightarrow \frac{R}{R-1} \left[1 - \left(\sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right] &< \frac{R}{R-1} \left[1 - \left(\sum P_i^R \right)^{\frac{1}{R}} \cdot D\left(\frac{1-R}{R}\right) \right] \\ \Rightarrow \frac{R}{R-1} \left[1 - \left(\sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right] &< \frac{R}{R-1} - \frac{R}{R-1} \left[\left(\sum P_i^R \right)^{\frac{1}{R}} \cdot D\left(\frac{1-R}{R}\right) \right] \end{aligned}$$

$$\begin{aligned} &\Rightarrow \frac{R}{R-1} \left[1 - \left(\sum P_i \cdot D^{-n_i \left(\frac{R}{R-1} \right)} \right) \right] < \frac{R}{R-1} - \frac{R}{R-1} \left[\sum P_i^R \cdot D^{\frac{1}{R} \left(\frac{1-R}{R} \right)} \right] \\ &\Rightarrow \frac{R}{R-1} \left[1 - \left(\sum P_i \cdot D^{-n_i \left(\frac{R}{R-1} \right)} \right) \right] < \frac{R}{R-1} - \frac{R}{R-1} \left[1 - 1 + \sum P_i^R \cdot D^{\frac{1}{R} \left(\frac{1-R}{R} \right)} \right] \cdot D^{\left(\frac{1-R}{R} \right)} \\ &\Rightarrow \frac{R}{R-1} \left[1 - \left(\sum P_i \cdot D^{-n_i \left(\frac{R}{R-1} \right)} \right) \right] < \frac{R}{R-1} \left[1 - D^{\left(\frac{1-R}{R} \right)} \right] + \frac{R}{R-1} \left[1 - \sum P_i^R \cdot D^{\frac{1}{R} \left(\frac{1-R}{R} \right)} \right] \cdot D^{\left(\frac{1-R}{R} \right)} \end{aligned} \tag{1.38}$$

But $\frac{R}{R-1} \left(1 - \left(\sum_{i=1}^N P_i^R \right)^{\frac{1}{R}} \right) = H_R(P)$ And $\frac{R}{R-1} \left(1 - \left(\sum_{i=1}^N P_i D^{-n_i \left(\frac{R}{R-1} \right)} \right) \right) = L_R$

Thus (1.38) becomes $L_R < H_R(P) \cdot D^{\left(\frac{R-1}{R} \right)} + \frac{R}{R-1} \left[1 - D^{\left(\frac{R-1}{R} \right)} \right]$ for $R > 1$ (1.10)

Cases II: when $0 < R < 1$ Let n_i be the positive integer satisfying the inequality

$$-\log \left(\frac{P_i^R}{\sum P_i^R} \right) \leq n_i < -\log \left(\frac{P_i^R}{\sum P_i^R} \right) + 1$$

Consider the interval

$$\delta_i = \left[-\log \left(\frac{P_i^R}{\sum P_i^R} \right), -\log \left(\frac{P_i^R}{\sum P_i^R} \right) + 1 \right]$$

of length 1. In every δ_i , there

lies one positive number n_i , such that

$$0 < -\log \left(\frac{P_i^R}{\sum P_i^R} \right) \leq n_i < -\log \left(\frac{P_i^R}{\sum P_i^R} \right) + 1 \tag{1.11}$$

It can be shown that the sequence $\{n_i\}_{i=1,2,3,\dots,N}$ thus defined, satisfies (1.1).

Thus from (1.11), we get

$$n_i < -\log\left(\frac{p_i^R}{\sum p_i^R}\right) + 1$$

$$-\log D^{-n_i} < -\log\left[\left(\frac{p_i^R}{\sum p_i^R}\right)D^{-1}\right]$$

$$D^{-n_i} > \left[\frac{p_i^R}{\sum p_i^R}\right]D^{-1}$$

Raising above inequality by $\frac{R-1}{R}$, we get

$$D^{-n_i\left(\frac{R-1}{R}\right)} < \left(\frac{p_i^R}{\sum p_i^R}\right)^{\frac{R-1}{R}} D^{\left(\frac{1-R}{R}\right)} \tag{1.12}$$

Multiplying both sides of (1.12) by p_i , we get

$$p_i D^{-n_i\left(\frac{R-1}{R}\right)} < p_i \left(\frac{p_i^R}{\sum p_i^R}\right)^{\frac{R-1}{R}} D^{\left(\frac{1-R}{R}\right)}$$

$$\Rightarrow p_i D^{-n_i\left(\frac{R-1}{R}\right)} < p_i \cdot (p_i)^{R-1} \left(\frac{1}{\sum p_i^R}\right)^{\frac{R-1}{R}} D^{\left(\frac{1-R}{R}\right)}$$

$$\Rightarrow p_i D^{-n_i\left(\frac{R-1}{R}\right)} < (p_i)^{1-1+R} \left(\frac{1}{\sum p_i^R}\right)^{\frac{R-1}{R}} D^{\left(\frac{1-R}{R}\right)}$$

$$\Rightarrow p_i D^{-n_i\left(\frac{R-1}{R}\right)} < (p_i)^R \left(\frac{1}{\sum p_i^R}\right)^{\frac{R-1}{R}} D^{\left(\frac{1-R}{R}\right)} \tag{1.13}$$

Summing over $i = 1, 2, 3, \dots, N$ both sides, we get

$$\begin{aligned}
 &\Rightarrow \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} < \left(\sum P_i^R\right) \left(\frac{1}{\sum P_i^R}\right)^{\frac{R-1}{R}} D^{\left(\frac{1-R}{R}\right)} \\
 &\Rightarrow \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} < \left(\sum P_i^R\right)^{1-\frac{1}{R}} D^{\left(\frac{1-R}{R}\right)} \\
 &\Rightarrow \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} < \left(\sum P_i^R\right)^{\frac{1}{R}} D^{\left(\frac{1-R}{R}\right)} \\
 &\Rightarrow -\sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} > -\left(\sum P_i^R\right)^{\frac{1}{R}} D^{\left(\frac{1-R}{R}\right)} \\
 &\Rightarrow 1 - \sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} > 1 - \left(\sum P_i^R\right)^{\frac{1}{R}} D^{\left(\frac{1-R}{R}\right)} \tag{1.11}
 \end{aligned}$$

We know $\frac{R}{R-1} < 0$ if $0 < R < 1$

Multiplying $\frac{R}{R-1}$ by both sides of (1.11), we get

$$\begin{aligned}
 &\Rightarrow \frac{R}{R-1} \left[1 - \left(\sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right] < \frac{R}{R-1} \left[1 - \left(\sum P_i^R \right)^{\frac{1}{R}} D^{\left(\frac{1-R}{R}\right)} \right] \\
 &\Rightarrow \frac{R}{R-1} \left[1 - \left(\sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right] < \frac{R}{R-1} - \frac{R}{R-1} \left[\left(\sum P_i^R \right)^{\frac{1}{R}} D^{\left(\frac{1-R}{R}\right)} \right] \\
 &\Rightarrow \frac{R}{R-1} \left[1 - \left(\sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right] < \frac{R}{R-1} - \frac{R}{R-1} \left[\left(\sum P_i^R \right)^{\frac{1}{R}} D^{\left(\frac{1-R}{R}\right)} \right] \\
 &\Rightarrow \frac{R}{R-1} \left[1 - \left(\sum P_i \cdot D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right] < \frac{R}{R-1} - \frac{R}{R-1} \left[1 - 1 + \left(\sum P_i^R \right)^{\frac{1}{R}} \right] D^{\left(\frac{1-R}{R}\right)}
 \end{aligned}$$

$$\Rightarrow \frac{R}{R-1} \left[1 - \left(\sum P_i \cdot D^{-\bar{n}_i \left(\frac{R}{R-1} \right)} \right) \right] < \frac{R}{R-1} \left[1 - D^{\left(\frac{1-R}{R} \right)} \right] + \frac{R}{R-1} \left[1 - \left(\sum P_i^R \right)^{\frac{1}{R}} \right] \cdot D^{\left(\frac{1-R}{R} \right)} \quad (1.15)$$

But
$$\frac{R}{R-1} \left(1 - \left(\sum_{i=1}^N P_i^R \right)^{\frac{1}{R}} \right) = H_R(P)$$

And
$$\frac{R}{R-1} \left(1 - \left(\sum_{i=1}^N P_i D^{-\bar{n}_i \left(\frac{R}{R-1} \right)} \right) \right) = L_R$$

Thus (1.15) becomes

$$L_R < H_R(P) \cdot D^{\left(\frac{R-1}{R} \right)} + \frac{R}{R-1} \left[1 - D^{\left(\frac{R-1}{R} \right)} \right] \quad \text{for } 0 < R < 1 \quad (1.16)$$

Thus from (1.10) and (1.16), we get

$$L_R < H_R(P) \cdot D^{\left(\frac{R-1}{R} \right)} + \frac{R}{R-1} \left[1 - D^{\left(\frac{R-1}{R} \right)} \right] \quad \text{for } R \in R^+ \quad (1.17)$$

Theorem: For all integer $D > 1$

$$\sum P_i D^{-\bar{n}_i \left(\frac{R-1}{R} \right)} = \left(\sum P_i^R \right)^{\frac{1}{R}} \quad \text{for } R \in R^+ \quad (1.18)$$

where $\bar{n}_i = -\log_D \left(\frac{P_i^R}{\sum P_i^R} \right)$

Proof: Since
$$\bar{n}_i = -\log_D \left(\frac{P_i^R}{\sum P_i^R} \right) \quad (1.19)$$

It can be written as

$$-\log_D D^{-\bar{n}_i} = -\log_D \left(\frac{P_i^R}{\sum P_i^R} \right)$$

$$D^{-\bar{n}_i} = \left(\frac{P_i^R}{\sum P_i^R} \right) \tag{1.50}$$

Raising power $\frac{R-1}{R}$ both sides of (1.50), we get

$$D^{-\bar{n}_i \left(\frac{R-1}{R} \right)} = \left(\frac{P_i^R}{\sum P_i^R} \right)^{\left(\frac{R-1}{R} \right)}$$

$$D^{-\bar{n}_i \left(\frac{R-1}{R} \right)} = \left(\frac{P_i^{R-1}}{\sum P_i^R \left(\frac{R-1}{R} \right)} \right) \tag{1.51}$$

Multiplying both sides of (1.51) by P_i , we get

$$P_i D^{-\bar{n}_i \left(\frac{R-1}{R} \right)} = \left(\frac{P_i^R}{\sum P_i^R \left(\frac{R-1}{R} \right)} \right) \tag{1.52}$$

Summing over $i = 1, 2, 3, \dots, N$ both sides of (1.52), we get

$$\sum P_i D^{-\bar{n}_i \left(\frac{R-1}{R} \right)} = \left(\frac{\sum P_i^R}{\sum P_i^R \left(\frac{R-1}{R} \right)} \right)$$

$$= \sum P_i^R \left(\frac{1}{R} \right) \tag{1.53}$$

Thus from (1.53), we get

$$\sum P_i D^{-\bar{n}_i \left(\frac{R-1}{R} \right)} = \sum P_i^R \left(\frac{1}{R} \right) \quad \text{for } R \in \mathbb{R}^+ \tag{1.51}$$

Theorem: For every code length $\bar{n}_i \in \{1, 2, 3, \dots, N\}$, L_R can be made to satisfy

$$L_R > H_R(P) + \frac{R}{R-1} \left(-D \right) \tag{1.55}$$

Proof: To prove this theorem we consider the following cases:

Cases I: when $R > 1$

Suppose
$$\bar{n}_i = -\log\left(\frac{P_i^R}{\sum P_i^R}\right) \tag{1.56}$$

Clearly \bar{n}_i and $\bar{n}_i + 1$ satisfy the ‘equality’ in Holder’s Inequality[12]. Moreover, \bar{n}_i satisfies Kraft’s Inequality (1.1)

Suppose n_i is the unique integer between \bar{n}_i and $\bar{n}_i + 1$, and then obviously, n_i satisfies Kraft’s Inequality (1.1), we have

$\bar{n}_i \leq n_i < \bar{n}_i + 1$ Now consider $\bar{n}_i \leq n_i$ It can be written as

$$-\log D^{-\bar{n}_i} \leq -\log D^{-n_i}, \quad D^{-n_i} \leq D^{-\bar{n}_i} \tag{1.57}$$

Raising power $\frac{R}{R-1}$ both sides of (1.57), we get

$$D^{-n_i\left(\frac{R-1}{R}\right)} \leq D^{-\bar{n}_i\left(\frac{R-1}{R}\right)} \tag{1.58}$$

Multiply by P_i both sides of (1.58), we get

$$P_i D^{-n_i\left(\frac{R-1}{R}\right)} \leq P_i D^{-\bar{n}_i\left(\frac{R-1}{R}\right)} < D P_i D^{-\bar{n}_i\left(\frac{R-1}{R}\right)} \tag{1.59}$$

Summing over $i = 1, 2, 3, \dots, N$ both sides of (1.59), we get

$$\sum P_i D^{-n_i\left(\frac{R-1}{R}\right)} < D \sum P_i D^{-\bar{n}_i\left(\frac{R-1}{R}\right)} \tag{1.60}$$

Using (1.51) in (1.60), we get

$$\sum P_i D^{-n_i\left(\frac{R-1}{R}\right)} < D \sum P_i^R \frac{1}{R}$$

$$\begin{aligned} &\Rightarrow -\sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} > -\left(\sum P_i^R\right)^{\frac{1}{R}} \cdot D \\ &\Rightarrow 1 - \sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} > 1 - \left(\sum P_i^R\right)^{\frac{1}{R}} \cdot D \end{aligned} \tag{1.61}$$

We know $\frac{R}{R-1} > 0$ if $R > 1$

Multiplying both sides of (1.61) by $\frac{R}{R-1}$, we get

$$\begin{aligned} &\frac{R}{R-1} \left(1 - \left(\sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right) > \frac{R}{R-1} \left(1 - \left(\sum P_i^R \right)^{\frac{1}{R}} \cdot D \right) \\ &\Rightarrow \frac{R}{R-1} \left(1 - \left(\sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right) > \frac{R}{R-1} - \frac{R}{R-1} \left(\sum P_i^R \right)^{\frac{1}{R}} \cdot D \\ &\Rightarrow \frac{R}{R-1} \left(1 - \left(\sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right) > \frac{R}{R-1} - \frac{R}{R-1} \left(1 - 1 + \sum P_i^R \right)^{\frac{1}{R}} \cdot D \\ &\Rightarrow \frac{R}{R-1} \left(1 - \left(\sum P_i D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right) > \frac{R}{R-1} \left(-D \right) + \frac{R}{R-1} \left(1 - \sum P_i^R \right)^{\frac{1}{R}} \cdot D \end{aligned} \tag{1.62}$$

But $\frac{R}{R-1} \left(1 - \left(\sum_{i=1}^n P_i^R \right)^{\frac{1}{R}} \right) = H_R(P)$

And $\frac{R}{R-1} \left(1 - \left(\sum_{i=1}^n P_i D^{-n_i \left(\frac{R-1}{R}\right)} \right) \right) = L_R$ Thus (1.63) becomes

$$L_R > H_R(P) + \frac{R}{R-1} \left(-D \right) \quad \text{for } R > 1 \tag{1.63}$$

Cases II: when $0 < R < 1$ Suppose $\bar{n}_i = -\log\left(\frac{P_i^R}{\sum P_i^R}\right)$ (1.61)

Clearly \bar{n}_i and $\bar{n}_i + 1$ satisfy the 'equality' in Holder's Inequality [12]. Moreover, \bar{n}_i Satisfies Kraft's inequality (1.1). Suppose n_i is the unique integer between \bar{n}_i and $\bar{n}_i + 1$, and then obviously, n_i satisfies (1.1), we have $\bar{n}_i \leq n_i < \bar{n}_i + 1$ Now consider

$\bar{n}_i \leq n_i$, It can be written as

$$-\log D^{-\bar{n}_i} \leq -\log D^{-n_i}, \quad D^{-n_i} \leq D^{-\bar{n}_i} \tag{1.65}$$

Raising power $\frac{R}{R-1}$ both sides of , we get, $D^{-n_i\left(\frac{R-1}{R}\right)} \geq D^{-\bar{n}_i\left(\frac{R-1}{R}\right)}$

Multiplying both sides of (1.66) by P_i ,we get

$$P_i D^{-n_i\left(\frac{R-1}{R}\right)} \geq P_i D^{-\bar{n}_i\left(\frac{R-1}{R}\right)} > D P_i D^{-\bar{n}_i\left(\frac{R-1}{R}\right)} \tag{1.66}$$

Summing over $i = 1, 2, 3, \dots, N$ both sides of (1.66), we get

$$\sum P_i D^{-n_i\left(\frac{R-1}{R}\right)} > D \sum P_i D^{-\bar{n}_i\left(\frac{R-1}{R}\right)} \tag{1.67}$$

Using (1.51) in (1.67), we get

$$\begin{aligned} \sum P_i D^{-n_i\left(\frac{R-1}{R}\right)} &> D \sum P_i^{\frac{1}{R}} \\ \Rightarrow -\sum P_i D^{-n_i\left(\frac{R-1}{R}\right)} &< -\sum P_i^{\frac{1}{R}} \cdot D \\ \Rightarrow 1 - \sum P_i D^{-n_i\left(\frac{R-1}{R}\right)} &< 1 - \sum P_i^{\frac{1}{R}} \cdot D \end{aligned} \tag{1.68}$$

We know $\frac{R}{R-1} < 0$ if $0 < R < 1$

Multiplying both sides of (1.68) $\frac{R}{R-1}$, we get

$$\begin{aligned} \frac{R}{R-1} \left(1 - \left(\sum P_i D^{-n_i \left(\frac{R}{R-1} \right)} \right) \right) &> \frac{R}{R-1} \left(1 - \left(\sum P_i^R \right)^{\frac{1}{R}} \cdot D \right) \\ \Rightarrow \frac{R}{R-1} \left(1 - \left(\sum P_i D^{-n_i \left(\frac{R}{R-1} \right)} \right) \right) &> \frac{R}{R-1} - \frac{R}{R-1} \left(\sum P_i^R \right)^{\frac{1}{R}} \cdot D \\ \Rightarrow \frac{R}{R-1} \left(1 - \left(\sum P_i D^{-n_i \left(\frac{R}{R-1} \right)} \right) \right) &> \frac{R}{R-1} - \frac{R}{R-1} \left(1 - 1 + \sum P_i^R \right)^{\frac{1}{R}} \cdot D \quad (1.69) \\ \Rightarrow \frac{R}{R-1} \left(1 - \left(\sum P_i D^{-n_i \left(\frac{R}{R-1} \right)} \right) \right) &> \frac{R}{R-1} \left(-D \right) + \frac{R}{R-1} \left(1 - \sum P_i^R \right)^{\frac{1}{R}} \cdot D \end{aligned}$$

But $\frac{R}{R-1} \left(1 - \left(\sum_{i=1}^n P_i^R \right)^{\frac{1}{R}} \right) = H_R(P)$

And $\frac{R}{R-1} \left(1 - \left(\sum_{i=1}^n P_i D^{-n_i \left(\frac{R}{R-1} \right)} \right) \right) = L_R$

Thus (1.69) becomes

$$L_R > H_R(P) + \frac{R}{R-1} \left(-D \right) \quad \text{for } 0 < R < 1 \quad (1.70)$$

Thus form (1.63) and (1.70), we get

$$L_R > H_R(P) + \frac{R}{R-1} \left(-D \right) \quad \text{for } R \in R^+ \quad (1.71)$$

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